

FIG. 1

Attributes

| Trading Orders | Buy/Sell | Stock | Client | Industry | Market | Account |
|-------------------|----------|-------|--------|----------|--------|---------|
| | B | AA | X | Health | NYSE | 1.00 |
| | B | BB | X | Drug | NYSE | 2.00 |
| | B | CC | Y | Defense | NASDAQ | 3.00 |
| | S | AA | Y | Health | NYSE | 4.00 |
| | S | BB | Z | Drug | NYSE | 5.00 |
| | S | CC | Z | Defense | NASDAQ | 6.00 |

FIG. 2A

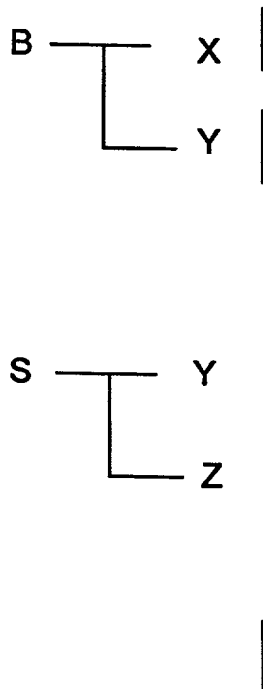


FIG. 2B

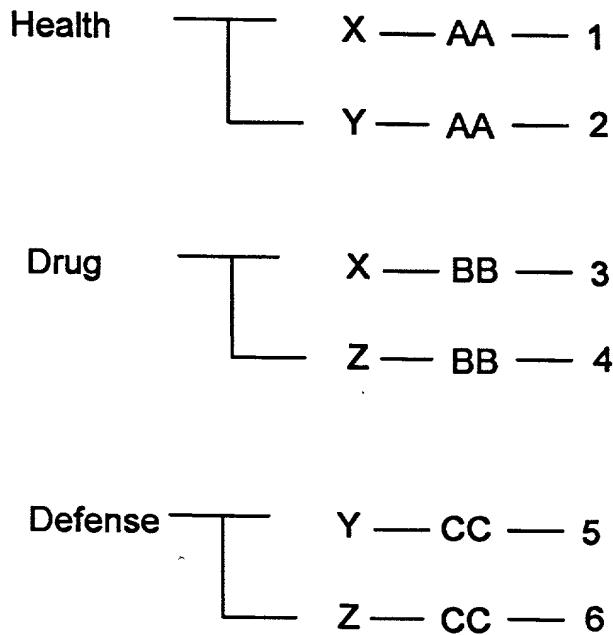


FIG. 2C

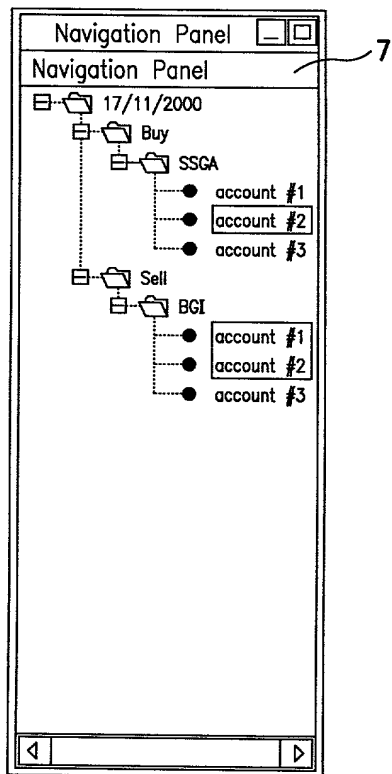


FIG. 3A

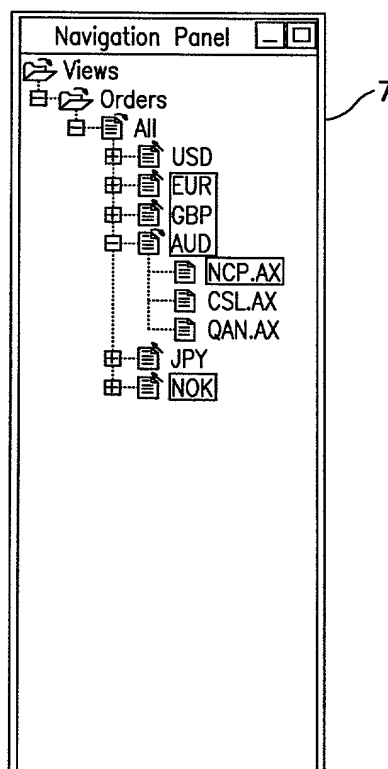


FIG. 3C

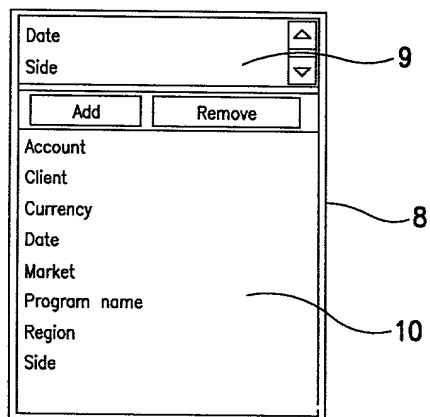


FIG. 3B

Select Columns

Selected

Side
CCY
RicCode
Instinet
Description
Strike
Nominal
Traded
Price

Up

Down

<<

>>

Available

Cost
Opport
Pct_Cmpl
Pct_Vol
Pct_Sprd
Pct_Chng
Loca_Value

Column: Description

Format: General

OK

Cancel

FIG. 4

Filter Options

Nominal

>

10,000

☐ Exclude Matching Items
☐ Case sensitive

OK

Cancel

FIG. 5

Sorting Order

Sort:

Side

CCY

RicCode

(none)

(none)

Z
A

A
Z

Z
A

A
Z

A
Z

OK

Cancel

FIG. 6

Newport Client--James Teather

London Dev connected to Ian's Test Server

File

Edit

Program

Order

Tools

Window

Help

Navigation

Sector

All

Aerospace & Military Techno

BUY

SELL

Appliances & Households

BUY

SELL

Automobiles

BUY

SELL

Banking

BUY

SELL

Beverages & Tobacco

BUY

SELL

Broadcasting & Publishing

BUY

SELL

Building Materials & Compo

BUY

SELL

Business & Public Services

BUY

SELL

Chemicals

BUY

SELL

Construction & Housing

BUY

SELL

Data Processing & Reprodu

BUY

SELL

Inbox

INLET

Order Qty

Order Price

Alert Time

Order Group

Inst Type

Currency

Industry Sector

Alert Source

128/128

Accept

Reject

Orders

test

| C... | Order ID | Side | Order Qty | INLET | 1st RIC | Limit Price | Ask | Bid | Value | Residual |
|------|---------------|------|-----------|--------|----------|-------------|---------|---------|---------------|------------|
| | 1006954672442 | BUY | 5,430 | CSGN.I | CSGN.VX | 347.880 | 65.200 | 65.150 | 354,036.000 | 5,430.000 |
| | 1006954674192 | BUY | 78,150 | DBKG.I | DBKGn.DE | 79.716 | 73.290 | 73.120 | 5,727,613.500 | 78,150.000 |
| | 1006954674537 | BUY | 78,150 | DBKG.I | DBKGn.DE | 79.716 | 73.290 | 73.120 | 5,727,613.500 | 78,150.000 |
| | 1006954673157 | BUY | 78,150 | DBKG.I | DBKGn.DE | 79.716 | 73.290 | 73.120 | 5,727,613.500 | 78,150.000 |
| | 1006954672787 | BUY | 5,430 | CSGN.I | CSGN.VX | 347.880 | 65.200 | 65.050 | 354,036.000 | 5,430.000 |
| | 1006954673721 | BUY | 46,700 | HSBAL | HSBAL | 730.080 | 838.000 | 837.000 | 391,346.000 | 46,700.000 |
| | 1006954672207 | BUY | 700 | BAC | BAC | 0.000 | 62.130 | 62.100 | 43,470.000 | 700.000 |
| | 1006954671078 | BUY | 4,200 | FBF | FBF | 0.000 | 37.620 | 37.610 | 157,962.000 | 4,200.000 |
| | 1006954672332 | BUY | 1,000 | ONE | ONE | 0.000 | 37.490 | 37.450 | 37,450.000 | 1,000.000 |
| | 1006954671073 | BUY | 4,400 | FBF | FBF | 0.000 | 37.620 | 37.610 | 165,484.000 | 4,400.000 |
| | 1006954673341 | BUY | 5,400 | AAH.I | AAH.AS | 23.080 | 17.950 | 17.920 | 96,788.000 | 5,400.000 |
| | 1006954672996 | BUY | 5,400 | AAH.I | AAH.AS | 23.080 | 17.950 | 17.920 | 96,788.000 | 5,400.000 |

Outbox

| Order ID | Side | Placed With | Order Qty | INLET | Client Notes | Benchmark | Time Raised | Expiry Type | Expiry Time |
|---------------|------|-------------|-----------|--------|--------------|-----------|-------------|-------------|-------------|
| 1006948208136 | Buy | London Dev | 2,700 | FFFL | | 0.000 | 13:24:22 | DAY | |
| 1006948208108 | Buy | | 400 | CC... | | 0.000 | 13:21:34 | DAY | |
| 1006954675173 | Buy | | 32,690 | HSB... | | 0.000 | 16:56:01 | DAY | |
| 1006954675173 | Buy | | 32,690 | HSB... | | 0.000 | 16:56:01 | DAY | |
| 1006954675183 | Buy | | 62,400 | DBK... | | 0.000 | 16:56:01 | DAY | |

Order 1006954673956 cancelled

EUR

FIG. 7A

FIG. 7B

20120907480001

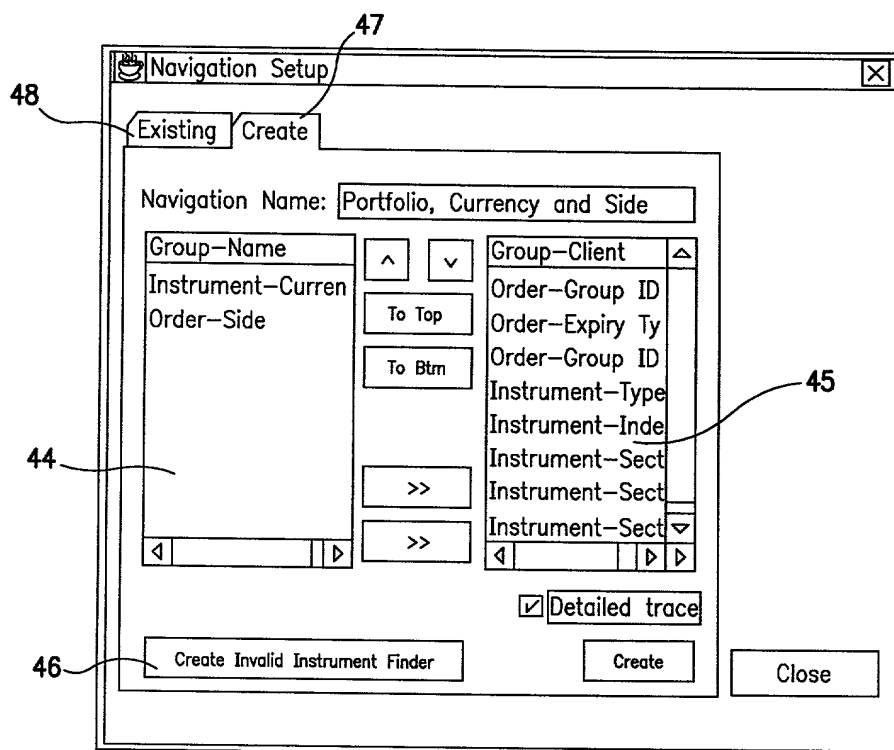


FIG. 7C

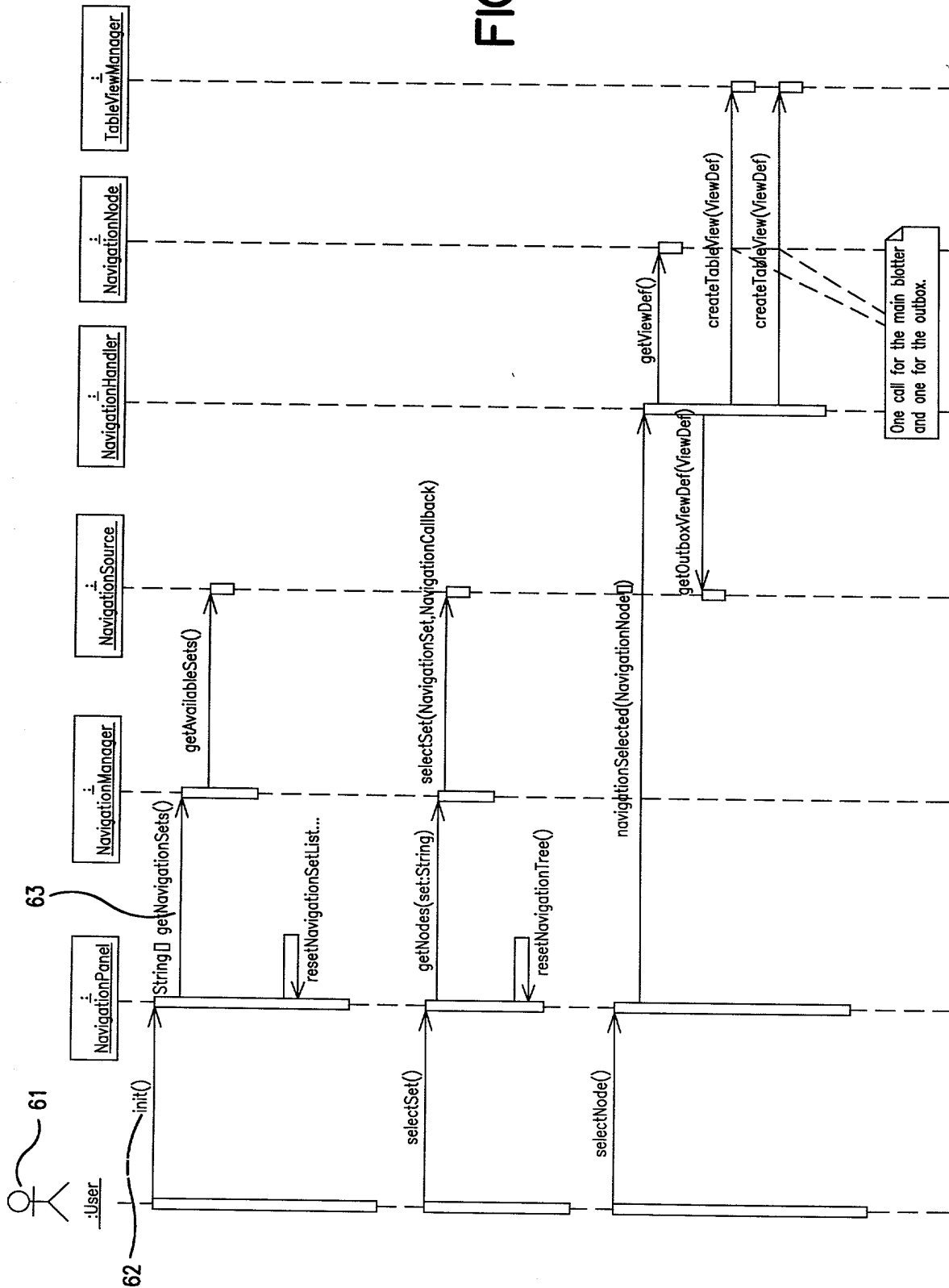
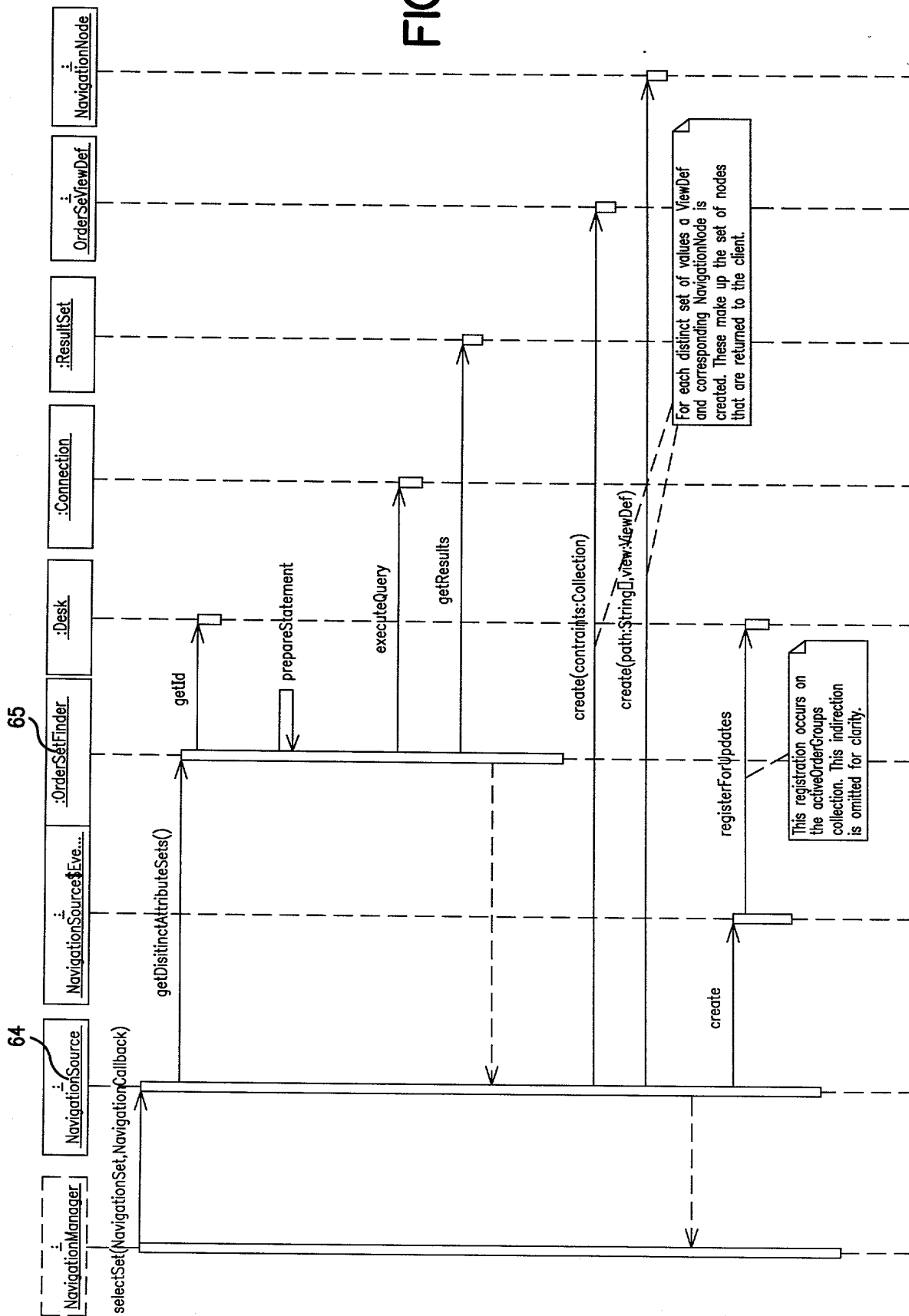


FIG. 8



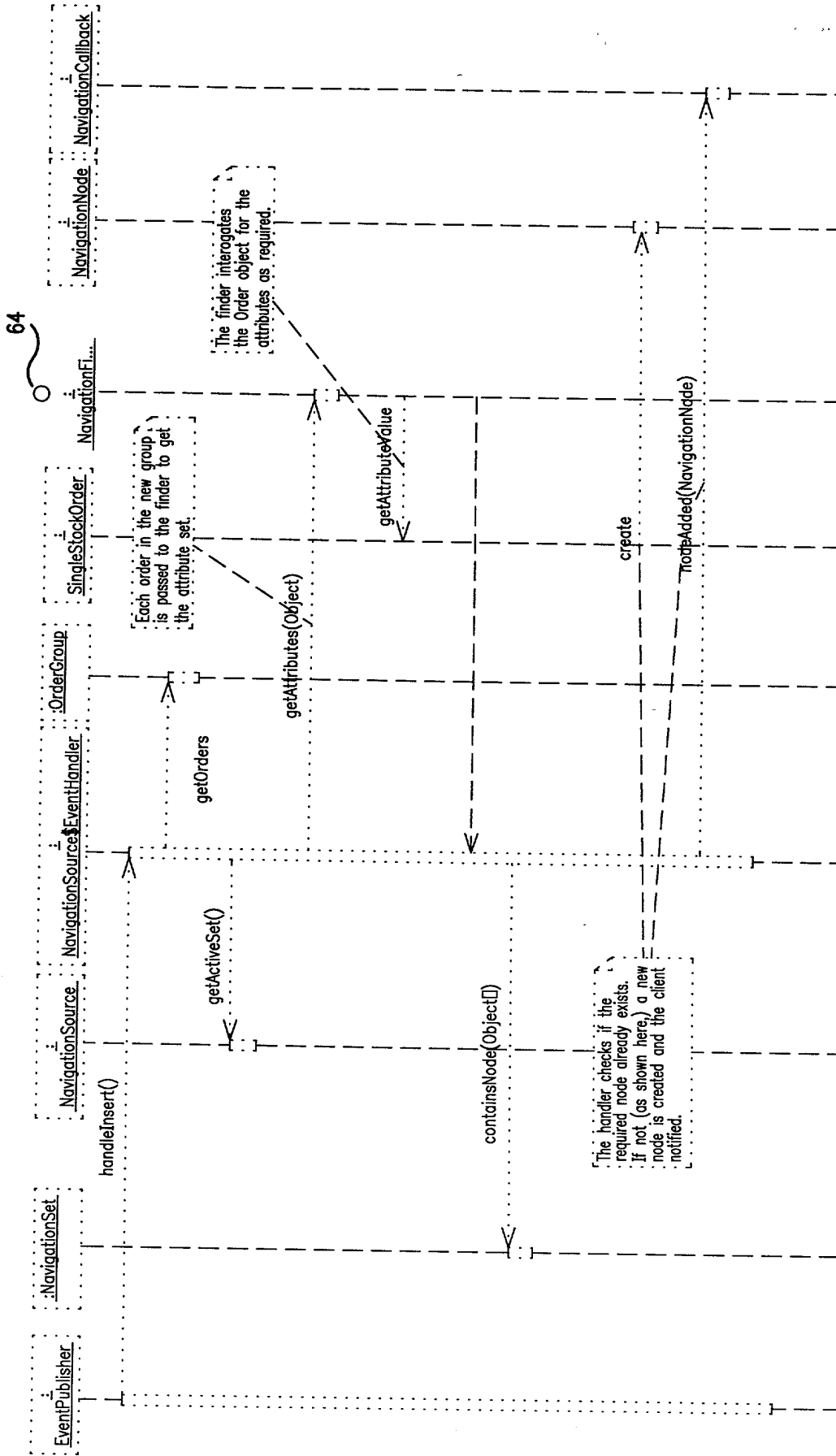


FIG. 10

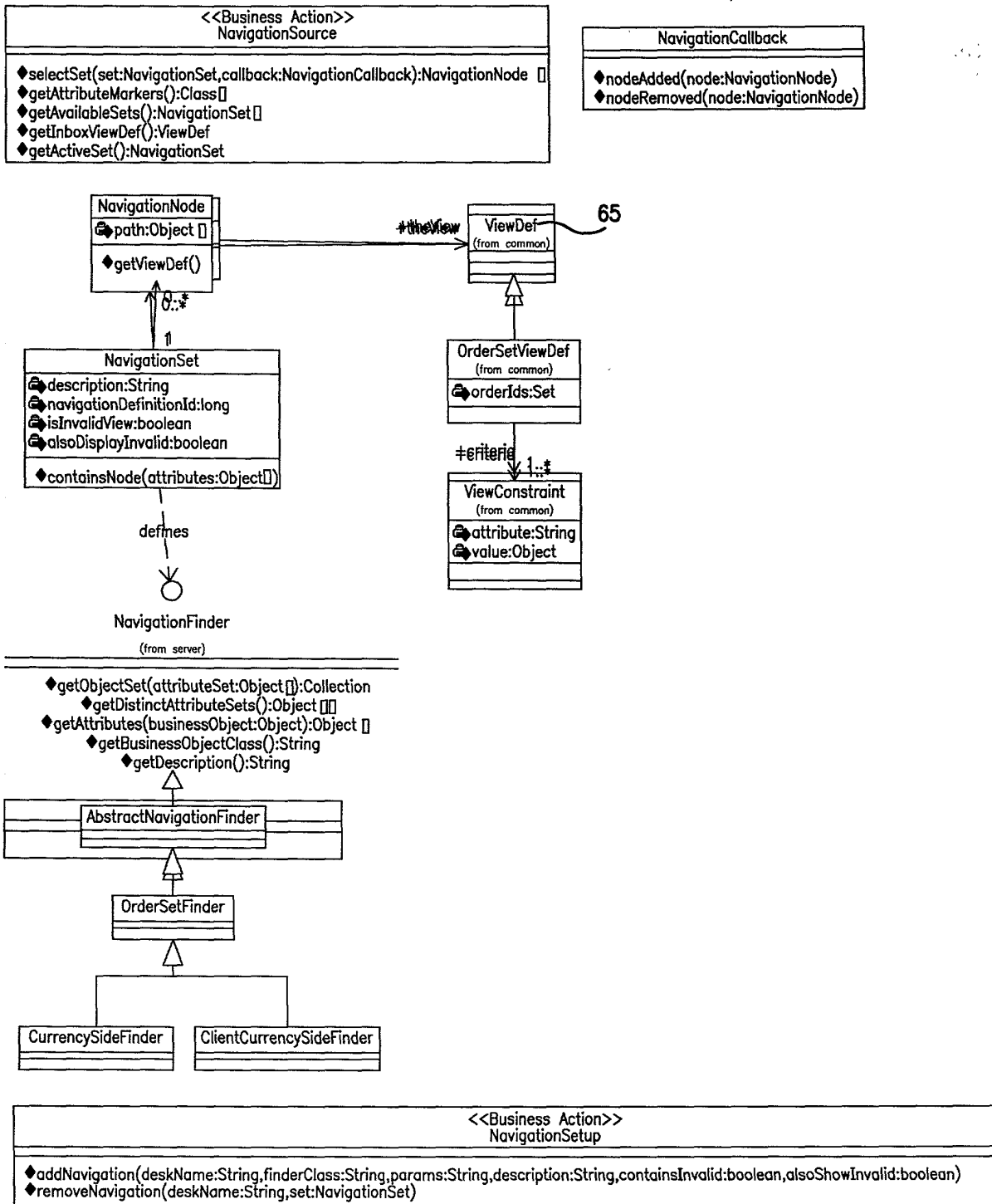


FIG. 11